

OCT 23 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/786,777

Confirmation No.: 3714

Filing Date: February 25, 2004

Inventor(s): Daniel Bates, et al.

Group Art Unit: 2628

Examiner Name: Xu, Kevin K.

Attorney Docket No.: 213187-00008

Title: Method and Apparatus for Associating the Color of an Object with an Event

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This document is being filed pursuant to the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

I. TIME OF FILING

_____ This document is being filed in accordance with 37 C.F.R. § 1.97(b) as it is believed to be filed:

- _____ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- _____ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
- _____ before the mailing of a first Office action on the merits; or
- _____ before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

10/24/2006 MBINAS 00000024 501214 10786777
01 FC:1806 180.00 DA

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Page 2 of 4

X This document is being filed in accordance with 37 C.F.R. § 1.97(c) as it is believed to be filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application. This Statement is accompanied by one of:

_____ the following statements; or

_____ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

_____ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

X The fee set forth in § 1.17(p). Fee Transmittal Form PTO/SB/17 is attached.

OR

_____ This document is being filed in accordance with 37 C.F.R. § 1.97(d) as it is believed to be filed on or before the payment of the issue fee and is accompanied by one of the following statements and the fee set forth in § 1.17(p). Fee Transmittal Form PTO/SB/17 is attached.

_____ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

_____ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicant(s) respectfully request that the documents listed on the attached Form PTO/SB/08A and/or Form PTO/SB/08B be considered and made of record.

OR

_____ It is requested that this Statement and enclosures be placed in the file. It is understood that the cited references will not be considered by the Office.

Application No. 10/786,777

Page 3 of 4

II. FOREIGN PATENT OFFICE COMMUNICATIONS (if applicable)

_____ One or more of the items of information contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart foreign application.

_____ A copy of the search report is attached.

III. COPIES OF CITED REFERENCES

_____ Because this application was filed prior to June 30, 2003, copies of the document(s) listed on the attached Form(s) PTO/SB/08A and/or PTO/SB/08B are attached.

OR

X Because this application was filed after June 30, 2003, copies of non-U.S. patent citations as listed on the attached Form(s) PTO/SB/08A and/or PTO/SB/08B are attached.

AND (if applicable)

_____ All cited U.S. pending (non-published) applications listed on Form PTO/SB/08A are stored in the USPTO's IFW system. Copies are therefore not provided.

OR

_____ One or more of the cited U.S. pending (non-published) applications (filed prior to June 30, 2003) as listed on Form PTO/SB/08A has not been stored in the USPTO's IFW system. Copies for the unstored applications are provided herewith.

IV. REMARKS

The above constitutes information that an Examiner may find material to the examination of the subject application; however, it does not negate the patentability of the subject invention. Furthermore, it is not intended that submission of this information be taken as a representation that a search has been made or that no information which is more material exists.

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Page 4 of 4

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment with respect to this communication to Deposit Account No. 50-1214. A duplicate copy of this communication is enclosed.

Date: Oct 23, 2006

By: /John S. Paniaguas/

John S. Paniaguas
Attorney or Agent for Applicant(s)
Reg. No. 31,051

T-751 P.08/09 F-046

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	10/786,777
	Filing Date	February 25, 2004
	First Named Inventor	83125
	Art Unit	2676
	Examiner Name	Xu, Kevin K
Attorney Docket Number	213187-00008	

U. S. PATENT DOCUMENTS					
Examination INVEST	Case No.	Document Number Number Key Code	Publication Date MM-DD-YYYY	Agency of Publication or Accession of Cited Document	Foreign, Confidential, Unrec. Where Relevant Passages or Remarks Figures Apper
		US- 5,684,715			
		US- 5,724,091			
		US- 5,752,160			
		US- 5,775,995			
		US- 5,778,187			
		US- 5,781,228			
		US- 5,793,414			
		US- 5,818,440			
		US- 5,822,530			
		US- 5,867,208			
		US- 5,874,985			
		US- 5,875,303			
		US- 5,885,086			
		US- 5,900,905			
		US- 5,903,816			
		US- 5,907,323			
		US- 5,912,980			
		US- 5,929,850			
		US- 5,931,908			

[illegible]

Lecturer Signature:	Date Completed:
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EXAMPLE: Initial is reference considered, whether or not citation is in conformance with MPEP 608. Draw team finishes. Citation is not in conformance and not considered. Include copy of the form with next communication to applicant. *Applicant's unique citation designation number [optional]. *See *Index* Chapter of USPTO Patent Documents at: www.uspto.gov or MPEP 501.04. *Enter Office that issued the document, by the two digit code (FIND) (Standard: 37.3). *For Japanese patent documents, the number of the year of the reign of the Emperor must precede the serial number of the patent document. *Note all documents by the appropriate symbols to indicate on the document under 37CFR 2.101-15 if possible. *Applicant is to place a check mark in 1.5 right margin.

This disclosure of information is as required by 37 U.S.C. 301 and 308. The information is required to disclose or assert a defense by the public within 120 days (and by the USPTO to process) an application. Correspondingly, it is governed by 35 U.S.C. 322 and 37 C.F.R. 1.14. This statement is submitted to meet 2 hours to complete, including gathering, preparing, and transcribing the completed application form to the USPTO. There may vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEE'S OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Oct-23-06 04:18pm From-KATTEN MUCHIN ROSENMAN 3129021061

T-751 P.09/09 F-046

Substitution for form 1462A(110) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known Application Number: 10/786,777 Filing Date: February 25, 2004 First Named Inventor: BATES Art Unit: 2676 Examiner Name: Xu, Kevin K Attorney Docket Number: 213187-00008	
Sheet	2	of	2

U.S. PATENT DOCUMENTS					
Examiner Initials	Case No.	Document Number	Publication Date MM DD YYYY	Name of Patentee or Applicant of Each Document	Pages, Columns, Lines, Where Relevant Figures or Remarks Figures Appear
		US 5,933,005			
		US 6,005,602			
		US 6,006,256			
		US 6,032,181			
		US 6,184,878			
		US 6,204,843			
		US 6,209,025			
		US 6,240,555			
		US 6,252,586			
		US 6,253,238			
		US 6,275,989			
		US 6,536,043			
		US H0,001,506			
		US 2003/0228150			
		US 2005/0086703			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Case No.	Foreign Patent Document	Publication Date MM DD YYYY	Name of Patentee or Applicant of Each Document	Pages, Columns, Lines, Where Relevant Figures or Remarks Figures Appear
		Country Code, Applicant Name Code, if Applicable			

Examiner Signature	Date Completed
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EXAMINER: Please refer to reference cited, whether or not search is in accordance with MPEP 608. Draw line through citation if not in accordance and is considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Guide Copy of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. * Examine Office that issued the document, by the two letter code (WFO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbol as indicated on the document under WFO Standard ST.1 to 4. * Applicant is to place a check mark (x) in English language if available in English.

The submission of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process an application. Confidentiality is governed by 37 CFR 1.22 and 37 CFR 1.14. This information is intended to take 7 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time and money depending upon the individual case. Any comments or the amount of time you require to complete this form and/or suggestions for reducing the burden, please send to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 23 2006

KATTEN MUCHIN ROSENMAN LLP

525 West Monroe Street
Chicago, IL 60661-3893

312.902.5200 office 312.902.1061 fax

Facsimile To	Company	Fax Number	Phone Number
Mail Stop Amendment - Commissioner for Patents	USPTO	(571) 273-8300	

Date	Client/Matter Number
October 23, 2006	213187-00008
From	Attorney Number
John S. Paniaguas	32347
Phone	Fax
312.902.5312	312.577.4532

Total number of pages, including cover letter: pages
If you do not receive all of the pages, please call: 312.902.5312

Comments

RE. Patent Application No. 10786.777

Filing Date: February 25, 2004

Inventor: Daniel Bates, et al

Title: Method and Apparatus for Associating the Color of an Object with an Event

Confirmation No. 3714

Please file the attached

Transmittal (1 p.)

Fee Transmittal (1 p.)

Information Disclosure Statement (4 p.)

Form PTO/SB/08A (2 p.)

Certificate of Transmission

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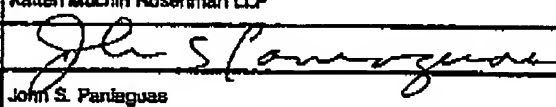
PTO/SB/21 (09-05)


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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10788.777	
	Filing Date	February 25, 2004	
	First Named Inventor	Daniel Bates	
	Art Unit	2628	
	Examiner Name	Xu, Kevin K.	
Total Number of Pages in This Submission	8	Attorney Docket Number	213187-00008

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Form PTO/SB/08A		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Katten Muchin Rosenman LLP		
Signature			
Printed name	John S. Panagias		
Date	October 23, 2006	Reg. No.	31,051

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Signature	
Typed or printed name	Mary T. Champion
Date	October 23, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 23 2006

PTO/SB/17 (07-05)

Approved for use through 01/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618).**FEE TRANSMITTAL**
For FY 2006☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/786,777
Filing Date	February 25, 2004
First Named Inventor	Daniel Bates
Examiner Name	Xu, Kevin K.
Art Unit	2628
Attorney Docket No.	213187-00008

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number 50-1214 Deposit Account Name Katten Muchin Rosenman

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$)

- 20 or NP = x =

NP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

- 3 or NP = x =

NP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = 150 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Submission of an Information Disclosure Statement

Fees Paid (\$)

180.00

SUBMITTED BY

Signature

Name (Print/Type) John S. Panagoras

Registration No.

(Attorney/Agent) 31,051

Telephone (312) 602-5312

Date October 23, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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